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*Unless otherwise defined herein, capitalised terms in this announcement shall have the same meanings as those defined in the prospectus dated Friday, July 20, 2018 (the “**Prospectus**”) issued Asclepis Pharma Inc. (the “**Company**”).*

*This announcement is for information purposes only and does not constitute an invitation or offer or an invitation to induce an offer by any person to acquire, purchase or subscribe for securities of the Company. This announcement is not a prospectus. Potential investors should read the Prospectus for detailed information about the Company and the Global Offering before deciding whether or not to invest in the Offer Shares.*

*This announcement is not for release, publication, distribution, directly or indirectly, in or into the United States (including its territories and possessions, any state of the United States and the District of Columbia). This announcement does not constitute or form a part of any offer or solicitation to purchase or subscribe for securities in the United States. The Shares have not been, and will not be, registered under the United States Securities Act of 1933, as amended from time to time (the “**U.S. Securities Act**”). The securities may not be offered or sold in the United States except pursuant to registration or an exemption from the registration requirements of the U.S. Securities Act. There will be no public offer of securities in the United States.*

*In connection with the Global Offering, Morgan Stanley Asia Limited, or any of its affiliates or any person acting for it, as stabilizing manager (the “**Stabilizing Manager**”), on behalf of the Underwriters, may over-allocate Shares or effect any other transactions with a view to stabilizing or maintaining the market price of the Shares at a level higher than that which might otherwise prevail in the open market for a limited period beginning on the Listing Date and expected to end on Friday, August 24, 2018, being the 30th day after the last day for lodging applications under the Hong Kong Public Offering. However, there is no obligation on the Stabilizing Manager, or any person acting for it to conduct any such stabilizing action. Such stabilization action, if commenced, may be effected in all jurisdictions where it is permissible to do so, in each case in compliance with all applicable laws, rules and regulatory requirements, including the Securities and Futures (Price Stabilizing) Rules (Cap. 571W of the Laws of Hong Kong), as amended, made under the Securities and Futures Ordinance (Cap. 571 of the Laws of Hong Kong). Such stabilization action, if commenced, will be conducted at the absolute discretion of the Stabilizing Manager, its affiliates, or any person acting for it and may be discontinued at any time, and is required to be brought to an end on Friday, August 24, 2018, being the 30th day after the last day for the lodging of applications under the Hong Kong Public Offering. After this date, no further stabilizing action may be taken and demand for the Shares and the price of the Shares could fall. The details of the intended stabilization and how it will be regulated under the Securities and Futures Ordinance are set out in the section headed “Structure of the Global Offering” in the Prospectus.*

*Potential investors of the Offer Shares should note that the Joint Global Coordinators (for themselves and on behalf of the Hong Kong Underwriters) and the Joint Sponsors shall be entitled to terminate its obligations under the Hong Kong Underwriting Agreement with immediate effect upon the occurrence of any of the events set out in the section “Underwriting — Underwriting Arrangements and Expenses — Hong Kong Public Offering — Grounds for Termination” in the Prospectus, at any time prior to 8:00 a.m. (Hong Kong time) on the Listing Date (which is currently expected to be Wednesday, August 1, 2018).*

**Ascletois Pharma Inc.**  
**歌礼制药有限公司**

*(Incorporated in the Cayman Islands with limited liability)*

**GLOBAL OFFERING**

<b>Number of Offer Shares under the Global Offering</b>	<b>:</b>	<b>224,137,000 Shares (subject to the Over-allotment Option)</b>
<b>Number of Hong Kong Offer Shares</b>	<b>:</b>	<b>22,414,000 Shares</b>
<b>Number of International Offer Shares</b>	<b>:</b>	<b>201,723,000 Shares (subject to the Over-allotment Option)</b>
<b>Offer Price</b>	<b>:</b>	<b>HK\$14.00 per Offer Share, plus brokerage of 1.0%, SFC transaction levy of 0.0027%, and Stock Exchange trading fee of 0.005% (payable in full on application in Hong Kong dollars and subject to refund)</b>
<b>Nominal value</b>	<b>:</b>	<b>US\$0.0001 per Share</b>
<b>Stock code</b>	<b>:</b>	<b>1672</b>

*Joint Sponsors, Joint Global Coordinators, Joint Bookrunners and Joint Lead Managers*

**Morgan Stanley**

**Goldman Sachs**

**CMS**  **招商證券國際**

**ANNOUNCEMENT OF OFFER PRICE AND ALLOTMENT RESULTS**

## **SUMMARY**

### **Offer Price**

The Offer Price has been determined at HK\$14.00 per Offer Share (excluding brokerage of 1%, the SFC transaction levy of 0.0027% and the Stock Exchange trading fee of 0.005%).

### **Net Proceeds from the Global Offering**

Based on the Offer Price of HK\$14.00 per Offer Share, the net proceeds from the Global Offering to be received by the Company, after deduction of underwriting fees and commissions and estimated expenses payable by the Company in connection with the Global Offering and assuming the Over-allotment Option is not exercised, is estimated to be approximately HK\$2,976.0 million. The Company intends to apply such net proceeds in accordance with the purposes set out in the section headed “Future Plans and Use of Proceeds” in the Prospectus.

If the Over-allotment Option is exercised in full, the Company will receive the net proceeds for up to 17,393,000 Shares to be issued, and the Selling Shareholders will receive the net proceeds for up to 16,227,000 Shares to be sold upon the exercise of the Over-allotment Option.

### **Applications under the Hong Kong Public Offering**

The Hong Kong Offer Shares initially offered under the Hong Kong Public Offering have been significantly over-subscribed. A total of 16,197 valid applications have been received pursuant to the Hong Kong Public Offering for a total of 204,591,000 Hong Kong Offer Shares, representing approximately 9.13 times of the total number of 22,414,000 Hong Kong Offer Shares initially available for subscription under the Hong Kong Public Offering.

As the over-subscription in the Hong Kong Public Offering is less than 15 times, the mandatory reallocation as described in the section headed “Structure of the Global Offering — The Hong Kong Public Offering — Reallocation” in the Prospectus was not effected. No reallocation of Offer Shares from the International Offering to the Hong Kong Public Offering was effected. 22,414,000 Offer Shares will be allotted and issued to the applicants under the Hong Kong Public Offering.

The final number of Offer Shares under the Hong Kong Public Offering is 22,414,000 Offer Shares, representing approximately 10.0% of the total number of the Offer Shares initially available under the Global Offering (before any exercise of the Over-allotment Option).

## **International Offering**

The Offer Shares initially offered under the International Offering have been over-subscribed. A total of 113 placees have been allotted 235,343,000 Shares under the International Offering, representing approximately 1.17 times of the total number of 201,723,000 Offer Shares initially available for subscription under the International Offering. The final number of Offer Shares under the International Offering is 201,723,000 Shares, representing approximately 90% of the Offer Shares initially available under the Global Offering (before any exercise of the Over-allotment Option).

To the best knowledge of the Directors, no Offer Shares under the International Offering have been allocated to applicants who are core connected persons of the Company, the Directors, the existing Shareholders or their respective close associates within the meaning of the Listing Rules whether in their own name or through their nominees and the International Offering is in compliance with the Placing Guidelines for Equity Securities as set out in Appendix 6 to the Listing Rules. The Directors confirm that no placee will, individually, be placed more than 10% of the enlarged issued share capital of the Company immediately after completion of the Global Offering. The Directors confirm that none of the Joint Sponsors, the Joint Global Coordinators, the Joint Bookrunners, the Joint Lead Managers and the Underwriters and their respective affiliated companies and connected clients (as defined in Appendix 6 to the Listing Rules) have taken up any Shares for its own benefit under the Global Offering. The Directors confirm that there will not be any new substantial Shareholder immediately after the Global Offering within the meaning of the Listing Rules and the Company's public float will satisfy the minimum percentage prescribed by Rule 8.08 of the Listing Rules.

## **Cornerstone Investor**

Pursuant to the Cornerstone Investment Agreement, the number of Offer Shares subscribed for by the Cornerstone Investor has now been determined and the Cornerstone Investor has subscribed for 42,042,000 Offer Shares, representing approximately 18.76% of the Offer Shares pursuant to the Global Offering and approximately 3.75% of the total issued share capital of the Company immediately upon completion of the Capitalization Issue and the Global Offering (assuming the Over-allotment Option is not exercised). Please refer to the section headed "Cornerstone" in the Prospectus for further details of the Cornerstone Investor.

### **Over-allotment Option**

In connection with the Global Offering, the Company and the Selling Shareholders have granted the Over-allotment Option to the International Underwriters, exercisable by the Joint Global Coordinators for themselves and on behalf of the International Underwriters, at any time from the Listing Date until Friday, August 24, 2018, being the 30th day after the last day for lodging applications under the Hong Kong Public Offering, to require the Company to issue and allot, and the Selling Shareholders to sell up to an aggregate of 33,620,000 additional Shares, representing approximately 15% of the Offer Shares initially available under the Global Offering, at the Offer Price to cover over-allocations in the International Offering, if any. There has been an over-allocation of 33,620,000 Shares in the International Offering and such over-allocation will be settled using Shares to be borrowed under the Stock Borrowing Agreement between Morgan Stanley & Co. International plc, Dr. Wu and the Selling Shareholders. Such borrowed Shares will be covered by exercising the Over-allotment Option or by making purchases in the secondary market at prices that do not exceed the Offer Price or by a combination of these means. If the Over-allotment Option is exercised, an announcement will be made in accordance with the Listing Rules. As at the date of this announcement, the Over-allotment Option has not been exercised.

### **Results of Allocations**

In relation to the Hong Kong Public Offering, the Company announces that the results of allocations and the Hong Kong identity card/passport/Hong Kong business registration numbers of successful applicants under the Hong Kong Public Offering will be made available at the times and dates and in the manner specified below:

- in this announcement posted on the Company's website at [www.ascretis.com](http://www.ascretis.com) and the Stock Exchange's website at [www.hkexnews.hk](http://www.hkexnews.hk) by no later than 9:00 a.m. on Tuesday, July 31, 2018;
- from the designated results of allocations website at [www.iporesults.com.hk](http://www.iporesults.com.hk) (alternatively: English <http://www.eipo.com.hk/en/Allotment>; Chinese <http://www.eipo.com.hk/zh-hk/Allotment>) with a "search by ID" function on a 24-hour basis from 8:00 a.m. on Tuesday, July 31, 2018 to 12:00 midnight on Monday, August 6, 2018;

- by telephone enquiry line by calling +852 2862 8669 between 9:00 a.m. and 10:00 p.m. from Tuesday, July 31, 2018 to Friday, August 3, 2018;
- in the special allocation results booklets which will be available for inspection during opening hours from Tuesday, July 31, 2018 to Thursday, August 2, 2018 at all the receiving bank's designated branches.

Results of allocations of the Hong Kong Offer Shares in the Hong Kong Public Offering, including the final Offer Price, the level of indication of interests in the International Offering, the level of applications in the Hong Kong Public Offering and the basis of allocation of the Hong Kong Offer Shares will also be published on Tuesday, July 31, 2018 in the South China Morning Post (in English) and the Hong Kong Economic Times (in Chinese) (the “**Newspapers**”) and on the Company's website at [www.ascletis.com](http://www.ascletis.com) and the website of the Stock Exchange at [www.hkexnews.hk](http://www.hkexnews.hk).

#### **Dispatch/Collection of Share Certificates and Refund Monies**

Applicants who apply for 1,000,000 or more Hong Kong Offer Shares and have provided all information required by their **WHITE** Application Forms and applicants who have applied for 1,000,000 Hong Kong Offer Shares or more through the **WHITE Form eIPO** service by submitting an electronic application through the designated website [www.eipo.com.hk](http://www.eipo.com.hk) and their application is wholly or partially successful, may collect their refund cheque(s) and/or share certificate(s) from Computershare Hong Kong Investor Services Limited at Shops 1712-1716, 17th Floor, Hopewell Centre, 183 Queen's Road East, Wanchai, Hong Kong, from 9:00 a.m. to 1:00 p.m. on Tuesday, July 31, 2018, or such other date as notified by the Company in the Newspapers. If such applicants do not collect their refund cheque(s) and/or share certificate(s) personally within the time specified for collection, they will be dispatched promptly to the address specified in their Application Forms by ordinary post at their own risk.

Applicants who have applied for less than 1,000,000 Hong Kong Offer Shares and have provided all information required by their **WHITE** Application Forms and applicants who have applied for less than 1,000,000 Hong Kong Offer Shares through the **WHITE Form eIPO** service by submitting an electronic application through the designated website [www.eipo.com.hk](http://www.eipo.com.hk) and their application is wholly or partially successful, will have their refund cheque(s) and/or share certificate(s) sent to their address on the relevant Application Form on or before Tuesday, July 31, 2018, by ordinary post and at their own risk.

For applicants using the **White Form eIPO** service and who have paid the application monies from a single bank account, any refund monies will be dispatched to that bank account in the form of e-Refund payment instructions. For applicants using the **White Form eIPO** service and who have paid the application monies from multiple bank accounts, any refund monies will be dispatched to the address as specified in their application instructions in the form of refund cheque(s) by ordinary post at their own risk.

Wholly or partially successful applicants using a **YELLOW** Application Form or who gave **electronic application instructions** to HKSCC will have their share certificate(s) issued in the name of HKSCC Nominees and deposited into CCASS for credit to their designated CCASS Participant's stock account or their CCASS Investor Participant stock account as stated in their applications on Tuesday, July 31, 2018, or, on any other date determined by HKSCC or HKSCC Nominees.

Wholly or partially unsuccessful applicants who have applied for 1,000,000 or more Hong Kong Offer Shares under **YELLOW** Application Forms may collect their refund cheque(s) from the Computershare Hong Kong Investor Services Limited at Shops 1712-1716, 17th Floor, Hopewell Centre, 183 Queen's Road East, Wanchai, Hong Kong, from 9:00 a.m. to 1:00 p.m. on Tuesday, July 31, 2018, or such other date as notified by the Company in the Newspapers. If such applicants do not collect their refund cheque(s) personally within the time specified for collection, they will be dispatched promptly to the address specified in their Application Form by ordinary post at their own risk.

Wholly or partially unsuccessful applicants who have applied for less than 1,000,000 Hong Kong Offer Shares under **YELLOW** Application Forms will have their refund cheque(s) sent to the address on the relevant Application Form on or before Tuesday, July 31, 2018, by ordinary post and at their own risk.

Refund of application monies in respect of wholly or partially unsuccessful applications who gave **electronic application instructions** to HKSCC will be credited to their designated bank account or the designated bank account of their broker or custodian on Tuesday, July 31, 2018.

**Public Float**

The Directors of the Company confirm that the market capitalization of the number of Shares to be held by the public will satisfy the minimum requirement prescribed under Rule 18A.07 of the Listing Rules. The Directors of the Company confirm that the three largest public shareholders of the Company do not hold more than 50% of the Shares held in public hands at the time of the Listing in compliance with Rules 8.08(3) and 8.24 of the Listing Rules. The Directors of the Company confirm that there will be at least 300 Shareholders at the time of the Listing in compliance with Rule 8.08(2) of the Listing Rules.

**Commencement of Dealings in the Shares**

No temporary document of title will be issued in respect of the Offer Shares. No receipt will be issued for sums paid on application. Share certificates for the Offer Shares will only become valid certificates of title at 8:00 a.m. on Wednesday, August 1, 2018, provided that (i) the Global Offering has become unconditional in all respects and (ii) the right of termination as described in the section headed “Underwriting — Underwriting Arrangements and Expenses — Hong Kong Public Offering — Grounds for Termination” in the Prospectus has not been exercised.

Assuming that the Global Offering becomes unconditional in all aspects at or before 8:00 a.m. on Wednesday, August 1, 2018, dealings in the Shares on the Stock Exchange are expected to commence at 9:00 a.m. on Wednesday, August 1, 2018. The Shares will be traded in board lots of 1,000 Shares each. The stock code of the Shares is 1672.

**OFFER PRICE**

The Offer Price has been determined at HK\$14.00 per Offer Share (excluding brokerage of 1%, the SFC transaction levy of 0.0027% and the Stock Exchange trading fee of 0.005%).



## NET PROCEEDS FROM THE GLOBAL OFFERING

Based on the Offer Price of HK\$14.00 per Offer Share, the net proceeds from the Global Offering to be received by the Company, after deduction of underwriting fees and commissions and estimated expenses payable by the Company in connection with the Global Offering and assuming the Over-allotment Option is not exercised, are estimated to be approximately HK\$2,976.0 million. The Group intends to apply the proceeds from the Global Offering as follows:

- *For our Core Products (allocation subject to change based on the progress and results of our drug candidate programs):*
  - approximately 30.0%, or HK\$892.8 million, will be used for the continued research and development of our Core Product pipeline, consisting of:
    - approximately 4.0%, or HK\$119.0 million, for initiating and conducting a number of phase IV clinical trials for Ganovo<sup>®</sup> and ravidasvir;
    - approximately 6.0%, or HK\$178.6 million, for initiating and conducting bridging studies, a phase IIb clinical trial and a phase III clinical trial (if needed), for ASC09;
    - approximately 6.0%, or HK\$178.6 million, for initiating and conducting bridging studies, a phase II clinical trial and a phase III clinical trial for ASC06;
    - approximately 10.0%, or HK\$297.6 million, for other research and development costs, including long-term toxicology studies, pharmacology studies, large-scale API synthesis and optimization and large-scale formulation development, and to supplement funding for the research and development of our Core Products as necessary; and
    - approximately 4.0%, or HK\$119.0 million, for staff compensation.
  - approximately 25.0%, or HK\$744.0 million, will be used for commercialization of Ganovo<sup>®</sup> and ravidasvir, consisting of:
    - approximately 12.0%, or HK\$357.1 million, for (i) hiring additional commercialization personnel with extensive China experience in sales and marketing of anti-viral and hepatitis drugs to increase our coverage of hospitals and doctors with HCV

patients in regions with high incidence rates; and (ii) providing in-house and external training, including but not limited to programs on scientific and medical progress with respect to anti-viral drugs, regulatory framework and policy updates and global biotechnology and pharmaceutical development for commercialization personnel to enhance their knowledge; and

- approximately 13.0%, or HK\$386.9 million, for marketing activities: (i) increasing the frequency of organizing and participating in academic conferences, seminars and symposia; (ii) conducting scientific promotional activities; (iii) raising awareness for HCV to increase diagnosis rate by partnering with diagnostic equipment and reagent manufacturers, independent clinical labs, health check-up networks and Internet healthcare companies; (iv) expanding our distribution network; and (v) establishing additional regional offices to deepen our market penetration.
- *For our other assets and other purposes:*
  - approximately 15.0%, or HK\$446.4 million, will be used for pursuing in-licensing of new drug candidates; although we have not identified any specific targets as of the Latest Practicable Date;
  - approximately 10.0%, or HK\$297.6 million, will be used for research and development of ASC21 by initiating and conducting clinical trials;
  - approximately 10.0%, or HK\$297.6 million, will be used for supporting our research and development infrastructure and the early development of our two in-house drug programs at discovery stage for HBV and NASH; and
  - approximately 10.0%, or HK\$297.6 million, will be used for our working capital and other general corporate purposes.

The above allocation of the net proceeds will be adjusted on a pro rata basis in the event that the Over-allotment Option is exercised. For details of the use of proceeds, see “Future Plans and Use of Proceeds” in the Prospectus for further details.

If the Over-allotment Option is exercised in full, the Company will receive the net proceeds for up to 17,393,000 Shares to be issued, and the Selling Shareholders will receive the net proceeds for up to 16,227,000 Shares to be sold upon the exercise of the Over-allotment Option.

## APPLICATIONS UNDER THE HONG KONG PUBLIC OFFERING

The Offer Shares initially offered under the Hong Kong Public Offering have been significantly over-subscribed. At the close of the application lists at 12:00 noon on Wednesday, July 25, 2018, a total of 16,197 valid applications (including applications (i) on **WHITE** and **YELLOW** Application Forms, (ii) through giving **electronic application instructions** to HKSCC via CCASS, and (iii) to the **White Form eIPO** Service Provider through the **White Form eIPO** service) have been received pursuant to the Hong Kong Public Offering for a total of 204,591,000 Hong Kong Offer Shares, equivalent to approximately 9.13 times of the total number of 22,414,000 Hong Kong Offer Shares initially available under the Hong Kong Public Offering.

Of the 16,197 valid applications on **WHITE** and **YELLOW** Application Forms or to the designated **White Form eIPO** Service Provider through **White Form eIPO** service at [www.eipo.com.hk](http://www.eipo.com.hk) and by **electronic application instructions** given to HKSCC for a total of 204,591,000 Hong Kong Offer Shares, a total of 16,117 valid applications in respect of a total of 95,756,000 Hong Kong Offer Shares were for Hong Kong Offer Shares with an aggregate subscription amount based on the maximum Offer Price of HK\$16.0 per Offer Share (excluding the brokerage, SFC transaction levy and the Stock Exchange trading fee) of HK\$5 million or less (representing approximately 8.54 times of the 11,207,000 Hong Kong Offer Shares initially comprised in pool A), and a total of 80 valid applications in respect of a total of 108,835,000 Hong Kong Offer Shares were for Hong Kong Offer Shares with an aggregate subscription amount based on the maximum Offer Price of HK\$16.0 per Offer Share (excluding the brokerage, SFC transaction levy and the Stock Exchange trading fee) of more than HK\$5 million (representing approximately 9.71 times of the 11,207,000 Hong Kong Offer Shares initially comprised in pool B).

11 applications have been rejected due to invalid application which is not completed in accordance with the instructions set out in the Application Forms. 16 multiple applications or suspected multiple applications have been identified and rejected. 1 application has been rejected due to bounced cheque. No applications for more than 50% of the Hong Kong Offer Shares initially available under the Hong Kong Public Offering (being 11,207,000 Shares) have been identified.

As the over-subscription in the Hong Kong Public Offering is less than 15 times, the mandatory reallocation as described in the section headed “Structure of the Global Offering — The Hong Kong Public Offering — Reallocation” in the Prospectus was not effected. No reallocation of Offer Shares from the International Offering to the Hong Kong Public Offering was effected. 22,414,000 Offer Shares will be allotted and issued to the applicants under the Hong Kong Public Offering.

The final number of Offer Shares under the Hong Kong Public Offering is 22,414,000 Offer Shares, representing approximately 10.0% of the total number of the Offer Shares initially available under the Global Offering (before any exercise of the Over-allotment Option).

The Hong Kong Offer Shares offered in the Hong Kong Public Offering were conditionally allocated on the basis set out in the paragraph headed “Basis of allotment under the Hong Kong Public Offering” below.

## **INTERNATIONAL OFFERING**

The Offer Shares initially offered under the International Offering have been over-subscribed. A total of 113 placees have been allotted 235,343,000 Shares under the International Offering, representing approximately 1.17 times of the total number of 201,723,000 Offer Shares initially available for subscription under the International Offering. The final number of Offer Shares under the International Offering is 201,723,000 Shares, representing approximately 90% of the Offer Shares initially available under the Global Offering (before any exercise of the Over-allotment Option).

To the best knowledge of the Directors, no Offer Shares under the International Offering have been allocated to applicants who are core connected persons of the Company, the Directors, the existing Shareholders or their respective close associates within the meaning of the Listing Rules whether in their own name or through their nominees and the International Offering is in compliance with the Placing Guidelines for Equity Securities as set out in Appendix 6 to the Listing Rules. The Directors of the Company confirm that no placee will, individually, be placed more than 10% of the enlarged issued share capital of the Company immediately after completion of the Global Offering. The Directors of the Company confirm that none of the Joint Sponsors, the Joint Global Coordinators, the Joint Bookrunners, the Joint Lead Managers and the Underwriters and their respective affiliated companies and connected clients (as defined in Appendix 6 to the Listing Rules) have taken up any Shares for its own benefit under the Global Offering.

## **CORNERSTONE INVESTOR**

Based on the Offer Price of HK\$14.00 per Offer Share and pursuant to the Cornerstone Investment Agreement, as disclosed in the section headed “Cornerstone” in the Prospectus, the number of Offer Shares subscribed for by the Cornerstone Investor has now been determined and the Cornerstone Investor has subscribed for 42,042,000 Offer Shares, representing approximately 18.76% of the Offer Shares pursuant to the Global Offering and approximately 3.75% of the total issued share capital of the Company immediately upon completion of the Capitalization Issue and the Global Offering (assuming the Over-allotment Option is not exercised). To the best knowledge of the Directors, the Cornerstone Investor is an Independent Third

Party, is not a connected person (as defined in the Listing Rules) or an existing shareholder of the Company, and is making independent investment decisions. Please refer to the section headed “Cornerstone” in the Prospectus for further details of the Cornerstone Investor.

The Cornerstone Placing will form part of the International Offering, and the Cornerstone Investor will not acquire any Offer Shares under the Global Offering other than pursuant to the Cornerstone Investment Agreement. The Offer Shares to be subscribed by the Cornerstone Investor will rank *pari passu* in all respect with the fully paid Shares in issue. Immediately following the completion of the Global Offering, the Cornerstone Investor will not have any Board representation in the Company, nor will it become a substantial shareholder of the Company. The Cornerstone Investor does not have any preferential rights in the Cornerstone Investment Agreement compared with other public Shareholders.

### **OVER-ALLOTMENT OPTION**

In connection with the Global Offering, the Company and the Selling Shareholders have granted the Over-allotment Option to the International Underwriters, exercisable by the Joint Global Coordinators for themselves and on behalf of the International Underwriters, at any time from the Listing Date until Tuesday, August 24, 2018, being the 30th day after the last day for lodging applications under the Hong Kong Public Offering, to require the Company to issue and allot and the Selling Shareholders to sell up to an aggregate of 33,620,000 additional Shares, representing approximately 15% of the Offer Shares initially offered under the Global Offering, at the Offer Price to cover over-allocations in the International Offering, if any. There has been an over-allocation of 33,620,000 Shares in the International Offering and such over-allocation will be settled using Shares to be borrowed under the Stock Borrowing Agreement between Morgan Stanley & Co. International plc, Dr. Wu and the Selling Shareholders. Such borrowed Shares will be covered by exercising the Over-allotment Option or by making purchases in the secondary market at prices that do not exceed the Offer Price or by a combination of these means. If the Over-allotment Option is exercised, an announcement will be made in accordance with the Listing Rules. As at the date of this announcement, the Over-allotment Option has not been exercised.

## BASIS OF ALLOTMENT UNDER THE HONG KONG PUBLIC OFFERING

Subject to the satisfaction of the conditions set out in the section headed “Structure of the Global Offering — Conditions of the Global Offering” in the Prospectus, valid applications made by the public on **WHITE** and **YELLOW** Application Forms, to the **White Form eIPO** Service Provider under the **White Form eIPO** service and through giving **electronic application instructions** to HKSCC via CCASS will be conditionally allocated on the basis set out below:

NO. OF SHARES APPLIED FOR	NO. OF VALID APPLICATIONS	BASIS OF ALLOTMENT / BALLOT	APPROXIMATE PERCENTAGE ALLOTTED OF THE TOTAL NO. OF SHARES APPLIED FOR
<b>POOL A</b>			
1,000	9,328	3,731 out of 9,328 to receive 1,000 Shares	40.00%
2,000	1,581	936 out of 1,581 to receive 1,000 Shares	29.60%
3,000	973	598 out of 973 to receive 1,000 Shares	20.49%
4,000	358	243 out of 358 to receive 1,000 Shares	16.97%
5,000	1,141	799 out of 1,141 to receive 1,000 Shares	14.01%
6,000	236	170 out of 236 to receive 1,000 Shares	12.01%
7,000	174	140 out of 174 to receive 1,000 Shares	11.49%
8,000	123	103 out of 123 to receive 1,000 Shares	10.47%
9,000	73	68 out of 73 to receive 1,000 Shares	10.35%
10,000	892	1,000 Shares	10.00%
15,000	202	1,000 Shares plus 71 out of 202 to receive additional 1,000 Shares	9.01%
20,000	295	1,000 Shares plus 177 out of 295 to receive additional 1,000 Shares	8.00%
25,000	52	1,000 Shares plus 39 out of 52 to receive additional 1,000 Shares	7.00%
30,000	176	2,000 Shares	6.67%
35,000	63	2,000 Shares plus 13 out of 63 to receive additional 1,000 Shares	6.30%

<b>NO. OF SHARES APPLIED FOR</b>	<b>NO. OF VALID APPLICATIONS</b>	<b>BASIS OF ALLOTMENT / BALLOT</b>	<b>APPROXIMATE PERCENTAGE ALLOTTED OF THE TOTAL NO. OF SHARES APPLIED FOR</b>
40,000	62	2,000 Shares plus 30 out of 62 to receive additional 1,000 Shares	6.21%
45,000	12	2,000 Shares plus 9 out of 12 to receive additional 1,000 Shares	6.11%
50,000	83	3,000 Shares	6.00%
60,000	47	3,000 Shares plus 23 out of 47 to receive additional 1,000 Shares	5.82%
70,000	29	4,000 Shares	5.71%
80,000	32	4,000 Shares plus 15 out of 32 to receive additional 1,000 Shares	5.59%
90,000	8	5,000 Shares	5.56%
100,000	111	5,000 Shares plus 44 out of 111 to receive additional 1,000 Shares	5.40%
200,000	39	9,000 Shares	4.50%
300,000	27	13,000 Shares	4.33%
	<u>16,117</u>		
		<b>POOL B</b>	
400,000	27	43,000 Shares	10.75%
500,000	22	53,000 Shares	10.60%
600,000	4	63,000 Shares	10.50%
700,000	3	73,000 Shares	10.43%
800,000	2	83,000 Shares	10.38%
900,000	1	93,000 Shares	10.33%
1,000,000	8	103,000 Shares	10.30%
1,500,000	4	154,000 Shares	10.27%
2,000,000	3	204,000 Shares	10.20%
4,000,000	1	407,000 Shares	10.18%
11,207,000	5	1,138,000 Shares plus 1 out of 5 to receive additional 1,000 Shares	10.16%
	<u>80</u>		

The final number of Offer Shares comprised in the Hong Kong Public Offering is 22,414,000 Offer Shares, representing approximately 10% of the total number of the Offer Shares initially available under the Global Offering. The final number of Offer Shares comprised in the International Offering is 201,723,000 Offer Shares, representing approximately 90% of the total number of the Offer Shares initially available under the Global Offering.

## RESULTS OF ALLOCATIONS

The results of allocations and the Hong Kong identity card/passport/Hong Kong business registration numbers of successful applicants under the Hong Kong Public Offering will be available at the times and dates and in the manner specified below:

- in this announcement posted on the Company's website at [www.ascletis.com](http://www.ascletis.com) and the Stock Exchange's website at [www.hkexnews.hk](http://www.hkexnews.hk) by no later than 9:00 a.m. on Tuesday, July 31, 2018;
- from the designated results of allocations website at [www.iporesults.com.hk](http://www.iporesults.com.hk) (alternatively: English <http://www.eipo.com.hk/en/Allotment>; Chinese <http://www.eipo.com.hk/zh-hk/Allotment>) with a "search by ID" function on a 24-hour basis from 8:00 a.m. on Tuesday, July 31, 2018 to 12:00 midnight on Monday, August 6, 2018;
- by telephone enquiry line by calling +852 2862 8669 between 9:00 a.m. and 10:00 p.m. from Tuesday, July 31, 2018 to Friday, August 3, 2018;
- in the special allocation results booklets which will be available for inspection during opening hours from Tuesday, July 31, 2018 to Thursday, August 2, 2018 at all the receiving bank's designated branches as set out below:

### Bank of China (Hong Kong) Limited

District	Branch Name	Address
Hong Kong Island	Bank of China Tower Branch 409 Hennessy Road Branch	1 Garden Road, Hong Kong 409-415 Hennessy Road, Wan Chai, Hong Kong
Kowloon	Hoi Yuen Road Branch Prince Edward Road West (Mong Kok) Branch	55 Hoi Yuen Road, Kwun Tong, Kowloon 116-118 Prince Edward Road West, Mong Kok, Kowloon
New Territories	Metro City Branch Citywalk Branch	Shop 209, Level 2, Metro City Phase 1, Tseung Kwan O, New Territories Shop 65 & 67-69 G/F, Citywalk, 1 Yeung Uk Road, Tsuen Wan, New Territories



Results of allocations of the Hong Kong Offer Shares in the Hong Kong Public Offering, including the final Offer Price, the level of indication of interests in the International Offering, the level of applications in the Hong Kong Public Offering and the basis of allocation of the Hong Kong Offer Shares will also be published on Tuesday, July 31, 2018 in the Newspapers and on the Company's website at [www.ascletis.com](http://www.ascletis.com) and the website of the Stock Exchange at [www.hkexnews.hk](http://www.hkexnews.hk).

We set out below a summary of allotment results under the International Offering:

- top 1, 5, 10 and 25 of the placees out of the International Offering, total Offer Shares and total share capital in issue of the Company upon Listing:

Placee	Subscription	Shares held upon Listing	Subscription	Subscription	Subscription	Subscription	% of total share	% of total share
			as % of International Offering (assuming no exercise of Overallotment Option)	as % of International Offering (assuming full exercise of Overallotment Option)	as % of total Offer Shares (assuming no exercise of Overallotment Option)	as % of total Offer Shares (assuming full exercise of Overallotment Option)	capital in issue (assuming no exercise of Overallotment Option)	capital in issue (assuming full exercise of Overallotment Option)
Top 1	42,042,000	42,042,000	20.84%	17.86%	18.76%	16.31%	3.75%	3.69%
Top 5	89,042,000	89,042,000	44.14%	37.83%	39.73%	34.54%	7.95%	7.82%
Top 10	127,742,000	127,742,000	63.33%	54.28%	56.99%	49.56%	11.40%	11.22%
Top 25	186,782,000	186,782,000	92.59%	79.37%	83.33%	72.46%	16.67%	16.41%

- top 1, 5, 10 and 25 of all the Shareholders out of the total International Offering, total Offer Shares and total share capital in issue of the Company upon Listing:

Shareholder	Subscription	Shares held upon Listing	Subscription as % of International Offering (assuming no exercise of Overallotment Option)	Subscription as % of International Offering (assuming full exercise of Overallotment Option)	Subscription as % of total Offer Shares (assuming no exercise of Overallotment Option)	Subscription as % of total Offer Shares (assuming full exercise of Overallotment Option)	% of total share capital in issue (assuming no exercise of Overallotment Option)	% of total share capital in issue (assuming full exercise of Overallotment Option)
			Top 1	0	552,393,664	0.00%	0.00%	0.00%
Top 5	0	800,887,192	0.00%	0.00%	0.00%	0.00%	71.46%	70.37%
Top 10	62,042,000	944,264,954	30.76%	26.36%	27.68%	24.07%	84.26%	82.97%
Top 25	157,742,000	1,054,290,000	78.20%	67.03%	70.38%	61.20%	94.08%	92.64%

Identification Document Number(s) 證件號碼	HKPO Shares Allocated 獲配發股份	Identification Document Number(s) 證件號碼	HKPO Shares Allocated 獲配發股份	Identification Document Number(s) 證件號碼	HKPO Shares Allocated 獲配發股份	Identification Document Number(s) 證件號碼	HKPO Shares Allocated 獲配發股份
A6096363	1000	E9748400	1000				
A6245792	1000	E9799307	1000				
A6325745	1000	G0633096	1000				
A8464122	1000	G084772A	1000				
A9701438	1000	G0946337	1000				
B1592047	1000	G0948690	1000				
B8661486	1000	G1116826	1000				
B9420814	1000	G1355995	1000				
B9420849	1000	G1372180	1000				
C2778708	1000	G1876448	1000				
C2988737	1000	G2405465	1000				
C3322112	1000	G2543760	1000				
C3653062	1000	G2645334	1000				
C3862494	1000	G2821698	1000				
C4235604	1000	G3486434	1000				
C4276939	1000	G3704431	1000				
C4656413	1000	G3868574	1000				
C5183454	1000	G4124153	1000				
C5395966	1000	G4379437	1000				
C6045764	1000	G4442171	1000				
C6490067	2000	G5369257	1000				
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D0573664	1000	G5978229	1000				
D0668800	1000	G6244946	1000				
D116864A	1000	G6598276	1000				
D121160A	1000	G6930149	1000				
D1326423	2000	G7023390	1000				
D1367707	1000	G7082508	2000				
D1671171	1000	G7089715	1000				
D2744008	1000	G8049105	1000				
D2906857	1000	G8133726	1000				
D294645A	1000	G8243580	1000				
D2968704	1000	H3298150	1000				
D300203A	1000	H3316604	1000				
D3010628	1000	H3926321	1000				
D3083862	1000	H475625A	1000				
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D3453686	1000	K0266302	1000				
D3892019	1000	K035578A	1000				
D4136072	1000	K0503029	1000				
D4138970	1000	K1020757	1000				
D4258372	1000	K1045415	1000				
D4342012	1000	K1637371	1000				
D4418663	1000	K1733397	1000				
D4486502	1000	K2432676	1000				
D4542054	1000	K2509725	1000				
D5106584	1000	K3351270	1000				
D5574782	1000	K4581113	1000				
D6001204	1000	K4911431	1000				
D6350721	1000	K5859808	1000				
D6377107	1000	K6759432	1000				
D6404007	1000	K6762859	1000				
D6883134	1000	K7516215	1000				
D6942076	1000	K8378266	1000				
D7014377	1000	K8780277	1000				
D7024801	1000	K9666494	1000				
D8329657	1000	P0497057	2000				
D8576751	1000	P1146126	1000				
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E0775804	1000	P335334A	1000				
E1475812	1000	P5739881	1000				
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E3515803	1000	R8578310	1000				
E3948246	1000	V0150614	1000				
E5092586	1000	V0353663	1000				
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E5931960	1000	V0489468	1000				
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E7365431	1000	Y0324327	1000				
E7711658	1000	Y2549253	1000				
E7967660	1000	Y5002727	1000				
E8152628	1000	Y536968A	1000				
E8222014		Y5387521	1000				
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E8351108	1000	Y6896934	1000				
E8699031	1000	Z1260015	1000				
E8742808	1000	Z166213A	1000				
E8755314	1000	Z2450527	1000				
E8980180	1000	Z2895227	1000				
E9013168	1000	Z7238917	1000				
E9024607	1000	Z7464062	1000				
E9101865	1000	Z9023276	1000				
E9242922	1000						
E9650346	1000						

Identification Document Number(s) 證件號碼	HKPO Shares Allocated 獲配發股份	Identification Document Number(s) 證件號碼	HKPO Shares Allocated 獲配發股份	Identification Document Number(s) 證件號碼	HKPO Shares Allocated 獲配發股份	Identification Document Number(s) 證件號碼	HKPO Shares Allocated 獲配發股份
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16492996	2000	P8108661	1000				
16492996	3000	R0232806	2000				
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16492996	1000	Y3818169	1000				
16492996	1000	Y3999228	1000				
16492996	1000	Y630767A	2000				
16492996	1000	Z049392A	1000				
16492996	1000	Z485689A	1000				
16492996	1000	Z8056561	2000				
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K8682097	1000						
K9461728	1000						
P1029707	1000						

Identification Document Number (s) 證件號碼	HKPO Shares Allocated 獲配發股份	Identification Document Number (s) 證件號碼	HKPO Shares Allocated 獲配發股份	Identification Document Number (s) 證件號碼	HKPO Shares Allocated 獲配發股份	Identification Document Number (s) 證件號碼	HKPO Shares Allocated 獲配發股份
0000523	1000	0061476	1000	013555600	1000	0260145	1000
0001882	1000	00615071X	1000	0138587	1000	0273235	1000
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0002556	1000	007020028	1000	014813800	1000	0290516	1000
0003044	1000	007091514	1000	014849900	1000	0298786	1000
0003208	1000	007245517	1000	0151483	3000	0302077	1000
0003314	1000	008031125	1000	016720001	1000	03021265	1000
0004931	1000	008052624	1000	016720003	1000	0302174	1000
0005523	1000	008066659	1000	016720004	1000	0303049	1000
0005784	3000	00809423X	1000	016720005	1000	0305700	1000
0005812	1000	008105141	1000	016720006	1000	03057992	1000
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0007727	1000	008201928	1000	016720016	1000	0314903	3000
0009592	1000	008210340	1000	016720018	1000	0315411	1000
001042613	1000	008245024	1000	016720019	2000	0315446	1000
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001077173	1000	008295017	1000	016720021	4000	03173930	1000
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0018164	1000	01021682X	1000	016720047	43000	04141267	1000
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0018886	1000	01024051	1000	016720050	6000	0415734	1000
0019136	1000	010259212	1000	016720051	3000	04191358	1000
0019312	1000	01026709X	1000	016720052	4000	04231049	1000
0019498	1000	010272410	1000	016720053	6000	04251431	1000
0019953	1000	010281033	2000	016720054	1000	04272920	1000
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003023827	1000	011103013	1000	016720070	1000	0482521	1000
003056942	1000	011123013	1000	016720071	4000	05010432	1000
003086013	1000	011162819	1000	016720072	2000	05046010	1000
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003110534	1000	011230535	1000	016720077	1000	05212343	1000
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003250021	1000	012022200	1000	016720083	1000	05270134	1000
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004151218	1000	012149800	1000	0170396	1000	06053544	1000
004160422	1000	012170027	1000	0172051	2000	06061313	1000
004163056	1000	012170493	1000	018300100	1000	06080713	1000
004180018	1000	0121963	3000	0187693	1000	06083614	1000
004180520	1000	01220019	1000	020071300	1000	06090036	1000
004182638	1000	012200200	1000	02011135	1000	06114038	1000
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005040318	1000	01228121X	1000	0202E17	1000	06133510	1000
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005124398	1000	01231912	1000	0210803X	1000	06165638	1000
005131212	1000	01241029	1000	02123311	1000	06213934	1000
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005170015	1000	01255243	1000	02153133	1000	06240318	1000
005190473	1000	01272819	1000	02183059	1000	06284933	1000
005234192	1000	01280535	1000	0223764	2000	06301226	1000
005299041	1000	01281520	1000	0224061X	1000	06305021	1000
006021180	1000	012956200	1000	0234287	1000	0644369	4000
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Identification Document Number (s) 證件號碼	HKPO Shares Allocated 獲配發股份	Identification Document Number (s) 證件號碼	HKPO Shares Allocated 獲配發股份	Identification Document Number (s) 證件號碼	HKPO Shares Allocated 獲配發股份	Identification Document Number (s) 證件號碼	HKPO Shares Allocated 獲配發股份
07057628	1000	103113411	1000	112060010	1000	203170919	1000
07061923	1000	103123040	1000	11206121X	3000	203174716	1000
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07064318	1000	103172842	1000	112151730	1000	203260029	1000
0708726	3000	103212117	1000	112202261	1000	203263526	1000
07100024	1000	103248026	1000	112204411	1000	203300142	1000
0710083X	1000	103285018	1000	112207240	1000	203307243	1000
07101516	1000	104031725	1000	112263032	1000	204012024	1000
07103334	1000	104130033	1000	112281812	2000	204030014	1000
07103685	1000	104133510	1000	112300071	1000	204036852	1000
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07215338	1000	105094184	1000	112319087	3000	204224612	1000
07263414	1000	105126827	1000	112397000	1000	205040119	1000
0727914	1000	10514211X	1000	11275235	1000	20505044X	1000
07281532	1000	105201417	1000	11280098	1000	205062011	2000
07301020	1000	105212343	1000	11280823	1000	205090325	1000
07305117	1000	105214424	1000	11304868	1000	205096050	1000
0788092	5000	106022048	1000	120042500	1000	205133630	1000
08056618	1000	106095722	1000	120103196	1000	205145814	1000
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08090214	1000	106180714	1000	12054019	1000	205161721	1000
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08160060	1000	106246026	1000	12112047	1000	205211817	9000
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0821141X	1000	107080410	1000	12172713	1000	205295055	1000
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08253519	1000	107106812	1000	12222042	1000	206066013	1000
08282322	1000	107154823	1000	12240443	1000	206081S	1000
0829414	1000	107172416	1000	12250018	1000	206126621	1000
08303736	1000	107225261	1000	12251629	1000	206131948	1000
0898377	1000	108011614	1000	12260126	1000	206151535	1000
0899431	1000	108012721	1000	12265225	1000	206230295	1000
09016251	1000	108055716	1000	12273229	1000	20625227X	1000
09020031	1000	108185426	1000	12280018	1000	206273429	1000
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09085154	1000	109010419	2000	12300513	1000	207062712	1000
09130322	1000	109057179	2000	12711214	1000	207094717	1000
09142029	1000	109060458	1000	128606	1000	207120253	1000
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Identification Document Number (s) 證件號碼	HKPO Shares Allocated 獲配發股份	Identification Document Number (s) 證件號碼	HKPO Shares Allocated 獲配發股份	Identification Document Number (s) 證件號碼	HKPO Shares Allocated 獲配發股份	Identification Document Number (s) 證件號碼	HKPO Shares Allocated 獲配發股份
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Identification Document Number (s) 證件號碼	HKPO Shares Allocated 獲配發股份	Identification Document Number (s) 證件號碼	HKPO Shares Allocated 獲配發股份	Identification Document Number (s) 證件號碼	HKPO Shares Allocated 獲配發股份	Identification Document Number (s) 證件號碼	HKPO Shares Allocated 獲配發股份
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Identification Document Number (s) 證件號碼	HKPO Shares Allocated 獲配發股份	Identification Document Number (s) 證件號碼	HKPO Shares Allocated 獲配發股份	Identification Document Number (s) 證件號碼	HKPO Shares Allocated 獲配發股份	Identification Document Number (s) 證件號碼	HKPO Shares Allocated 獲配發股份
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Identification Document Number (s) 證件號碼	HKPO Shares Allocated 獲配發股份	Identification Document Number (s) 證件號碼	HKPO Shares Allocated 獲配發股份	Identification Document Number (s) 證件號碼	HKPO Shares Allocated 獲配發股份	Identification Document Number (s) 證件號碼	HKPO Shares Allocated 獲配發股份
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P3254732	1000	R4195344	1000	W34012592	3000	Y3942056	1000
P3456173	1000	R4496255	1000	W47132470	1000	Y3963304	1000

Identification Document Number (s) 證件號碼	HKPO Shares Allocated 獲配發股份	Identification Document Number (s) 證件號碼	HKPO Shares Allocated 獲配發股份	Identification Document Number (s) 證件號碼	HKPO Shares Allocated 獲配發股份	Identification Document Number (s) 證件號碼	HKPO Shares Allocated 獲配發股份
Y3987602	1000	Z3285015	1000	Z8264288	1000		
Y402154A	1000	Z3375561	1000	Z8318116	1000		
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Y4165185	1000	Z3394787	1000	Z8470813	1000		
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Y4205691	1000	Z3549640	1000	Z8694045	1000		
Y421517	1000	Z3606830	1000	Z8738409	1000		
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Y4530665	1000	Z3720528	1000	Z904334A	1000		
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## **DISPATCH/COLLECTION/POSTING OF SHARE CERTIFICATES AND REFUND MONIES**

### **For applications under WHITE Application Forms or through the White Form eIPO Service**

Applicants who apply for 1,000,000 or more Hong Kong Offer Shares and have provided all information required by their **WHITE** Application Forms and applicants who have applied for 1,000,000 Hong Kong Offer Shares or more through the **WHITE** Form eIPO service by submitting an electronic application through the designated website [www.eipo.com.hk](http://www.eipo.com.hk) and their application is wholly or partially successful, may collect their refund cheque(s) and/or share certificates in person from Computershare Hong Kong Investor Services Limited at Shops 1712-1716, 17th Floor, Hopewell Centre, 183 Queen's Road East, Wanchai, Hong Kong from 9:00 a.m. to 1:00 p.m. on Tuesday, July 31, 2018, or such other date as notified by the Company in the Newspapers. Applicants being individuals who are eligible for personal collection cannot authorise any other person to make collection on their behalf. Corporate applicants which are eligible for personal collection must attend through their authorized representatives bearing letters of authorization from their corporations stamped with their corporation's chop. Both individuals and authorized representatives must produce, at the time of collection, evidence of identity acceptable to the Hong Kong Share Registrar. If such applicants do not collect their refund cheque(s) and/or share certificate(s) personally within the time specified for collection, they will be dispatched promptly to the address specified in their Application Form by ordinary post at their own risk.

Applicants who have applied for less than 1,000,000 Hong Kong Offer Shares and have provided all information required by their **WHITE** Application Forms and applicants who have applied for less than 1,000,000 Hong Kong Offer Shares through the **WHITE** Form eIPO service by submitting an electronic application through the designated website [www.eipo.com.hk](http://www.eipo.com.hk) and their application is wholly or partially successful, will have their refund cheque(s) and/or share certificate(s) sent to their address on the relevant Application Form on or before Tuesday, July 31, 2018, by ordinary post and at their own risk.

For applicants using the **White Form eIPO** service and who have paid the application monies from a single bank account, any refund monies will be dispatched to that bank account in the form of e-Refund payment instructions. For applicants using the **White Form eIPO** service and who have paid the application monies from multiple bank accounts, any refund monies will be dispatched to the address as specified in their application instructions in the form of refund cheque(s) by ordinary post at their own risk.



**For applications using YELLOW Application Forms and/or via electronic application instructions to HKSCC**

Wholly or partially successful applicants using a **YELLOW** Application Form or who gave **electronic application instructions** to HKSCC will have their share certificate(s) issued in the name of HKSCC Nominees and deposited into CCASS for credit to their designated CCASS Participant's stock account or their CCASS Investor Participant stock account as stated in their applications on Tuesday, July 31, 2018, or, on any other date determined by HKSCC or HKSCC Nominees.

Wholly or partially unsuccessful applicants who have applied for 1,000,000 or more Hong Kong Offer Shares under **YELLOW** Application Forms may collect their refund cheque(s) from the Computershare Hong Kong Investor Services Limited at Shops 1712-1716, 17th Floor, Hopewell Centre, 183 Queen's Road East, Wanchai, Hong Kong, from 9:00 a.m. to 1:00 p.m. on Tuesday, July 31, 2018 or such other date as notified by the Company in the Newspapers. Individual applicants eligible for personal collection must not authorize any other person to collect for them. For corporate applicants which are eligible for personal collection, their authorized representative(s) must bear a letter of authorization from such corporation(s) stamped with such corporation's chop. Both individuals and authorized representatives must produce, at the time of collection, evidence of identity acceptable to the Hong Kong Share Registrar. If such applicants do not collect their refund cheque(s) personally within the time specified for collection, they will be dispatched promptly to the address specified in their Application Form by ordinary post at their own risk.

Wholly or partially unsuccessful applicants who have applied for less than 1,000,000 Hong Kong Offer Shares under **YELLOW** Application Forms will have their refund cheque(s) sent to the address on the relevant Application Form on or before Tuesday, July 31, 2018 by ordinary post and at their own risk.

Applicants applying through a designated CCASS Participant (other than a CCASS Investor Participant) should check the number of Hong Kong Offer Shares allocated to them with that CCASS Participant.

Refund monies for wholly or partially unsuccessful applications who gave **electronic application instructions** to HKSCC will be credited to their designated bank account or the designated bank account of their broker or custodian on Tuesday, July 31, 2018. Applicants applying as a CCASS Investor Participant should check the announcement published by the Company and report any discrepancies to HKSCC before 5:00 p.m. on Tuesday, July 31, 2018, or such other date as determined by HKSCC or HKSCC Nominees. Applicants applying as a CCASS Investor Participant giving electronic application instructions to HKSCC may also check the amount of their refund monies via the CCASS Phone System and the CCASS Internet System (under the procedures contained in HKSCC's "An Operating Guide for Investor

Participants” in effect from time to time) on Tuesday, July 31, 2018. HKSCC will also make available to CCASS Investor Participants an activity statement showing the amount of the refund monies (if any) credited to their designated bank account.

## **PUBLIC FLOAT**

Immediately following completion of the Global Offering and before any exercise of the Over-allotment Option, not less than 25% of the total issued share capital of the Company will be held by the public. The Directors of the Company confirm that there will not be any new substantial Shareholder immediately after the Global Offering within the meaning of the Listing Rules and the number of Shares to be held by the public will satisfy the minimum percentage prescribed under Rule 8.08 of the Listing Rules.

Based on (i) the Offer Price of HK\$14.00 per Offer Share and (ii) the final numbers of Offer Shares under the Hong Kong Public Offering and the International Offering are 22,414,000 Shares and 201,723,000 Shares respectively, a portion of the total number of issued shares (excluding the Offer Shares subscribed by the Cornerstone Investor) of the Company with a market capitalization of at least HK\$375 million will be held by the public at the time of the Listing. Accordingly, the Directors of the Company confirm that the market capitalization of the number of Shares to be held by the public will satisfy the minimum requirement prescribed under Rule 18A.07 of the Listing Rules.

The Directors of the Company confirm that the three largest public shareholders of the Company do not hold more than 50% of the Shares held in public hands at the time of the Listing in compliance with Rules 8.08(3) and 8.24 of the Listing Rules. The Directors confirm that there will be at least 300 Shareholders at the time of the Listing in compliance with Rule 8.08(2) of the Listing Rules.

## **COMMENCEMENT OF DEALINGS IN THE SHARES**

No temporary document of title will be issued in respect of the Offer Shares and no receipt will be issued for sums paid on application.

Share certificates will only become valid at 8:00 a.m. on Wednesday, August 1, 2018 provided that (i) the Global Offering has become unconditional in all respects and (ii) the right of termination as described in the section headed “Underwriting — Underwriting Arrangements and Expenses — Hong Kong Public Offering — Grounds for Termination” in the Prospectus has not been exercised.

Assuming that the Global Offering becomes unconditional in all aspects at or before 8:00 a.m. on Wednesday, August 1, 2018, dealings in the Shares on the Stock Exchange are expected to commence at 9:00 a.m. on Wednesday, August 1, 2018. The Shares will be traded in board lots of 1,000 Shares and the stock code of the Shares is 1672.

**In view of the high concentration of shareholding in a small number of Shareholders, Shareholders and prospective investors should be aware that the price of the Shares could move substantially even with a small number of Shares traded, and should exercise extreme caution when dealing in the Shares.**

By Order of the Board of Directors  
**Ascletris Pharma Inc.**  
歌礼制药有限公司  
**Jinzi Jason WU**  
*Chairman*

Hong Kong, July 31, 2018

*As at the date of this announcement, the Board of Directors of the Company comprises Dr. Jinzi Jason WU and Mrs. Judy Hejingdao WU, as executive Directors; Mr. Wei FU, as non-executive Director; and Dr. Ru Rong JI, Dr. Yizhen WEI, Mr. Jiong GU and Ms. Lin HUA, as independent non-executive Directors.*

*Please also refer to the published version of this announcement in the South China Morning Post (in English) and the Hong Kong Economic Times (in Chinese).*